

11-07-05

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**Attorney Docket No: ASTU-001/01US  
(017622-2011)**

**PATENT**

Express Mail Label No.: EV 593819788 US  
Date of Deposit: November 4, 2005

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of KNEE *et al.*

Examiner: Unknown

Serial No.: 10/660,055

Art Unit: 2127

Filed: September 10, 2003

Confirmation No.: 7300

For: **MULTI-PROTOCOL AND MULTI-FORMAT STATEFUL PROCESSING****Mail Stop Amendment**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT TRANSMITTAL**

Enclosed is an Information Disclosure Statement and accompanying Form PTO/SB/08A for the above-identified patent application.

- In accordance with 37 C.F.R. §1.97(b), no additional fee for submission of the IDS is required.
- In accordance with 37 C.F.R. §1.97(c), also enclosed is:
  - the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p); or
  - a statement as specified in 37 C.F.R. §1.97(e).
- In accordance with 37 C.F.R. §1.97(d), a statement as specified in 37 C.F.R. §1.97(e) and the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) are also enclosed.
- Check No. \_\_\_ in the amount of \$\_\_\_ for the total fee is attached.
- A return receipt postcard is also enclosed.
- Please charge \$\_\_\_ to Deposit Account No. (PA) 03-3117 for the total fee. This paper is being submitted in duplicate.

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Serial No. 10/660,055  
Page 2

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§1.16, 1.17, and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. (PA) 03-3117.

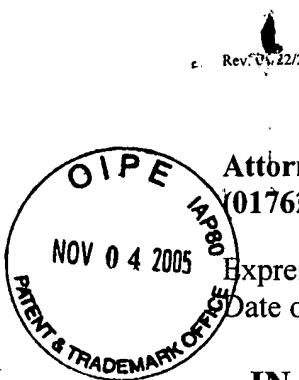
Dated: November 4, 2005

Cooley Godward LLP  
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Five Palo Alto Square  
3000 El Camino Real  
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By:

Respectfully submitted,  
**COOLEY GODWARD LLP**

  
\_\_\_\_\_  
Kevin J. Zimmer  
Reg. No. 36,977



Attorney Docket No: ASTU-001/01US  
(017622-2011)

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### Mail Stop Amendment

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P.O. Box 1450  
Alexandria, VA 22313-1450

### INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97(b)

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56,  
Applicant(s) hereby submits the following information in conformance with 37 C.F.R.  
§§1.97 and 1.98.

- Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. \_\_ to which the above-identified application claims priority under 35 U.S.C. §120.
- No copies of any U.S. patents or U.S. patent application publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98 because this application was filed after June 30, 2003.
- Publication(s) \_\_ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to \_\_ application serial no. \_\_ and mailed on \_\_.

- Enclosed is a copy of a non-English publication(s) \_\_\_. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- Enclosed is a copy of a non-English publication(s) \_\_\_. English language publication \_\_ (copy enclosed) claims priority from this non-English publication.
- Enclosed is an explanation of non-English publication(s) \_\_\_ for which an English translation is not available.
- Enclosed is an English translation of non-English publication(s) \_\_\_ cited in the attached Form PTO/SB/08A.
- Enclosed is a copy of pending patent Application Serial No. \_\_\_.

This Information Disclosure Statement is filed within any one of the following time periods:

- within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- before the mailing date of a first office action on the merits; or
- before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

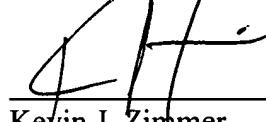
It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Dated: November 4, 2005

Cooley Godward LLP  
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Tel: (650) 843-5000  
Fax: (650) 857-0663

By:

Respectfully submitted,  
**COOLEY GODWARD LLP**

  
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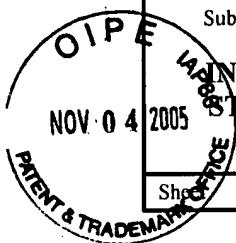
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Express Mail No. EV 593819788 US  
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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/660,055
		Filing Date	September 10, 2003
		First Named Inventor	Simon John Kneec
		Group Art Unit	2127
		Examiner Name	Unknown
Sheet	1 of 2	Attorney Docket Number	ASTU-001/01US



**Substitute for form 1449A/PTO**

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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1 of 2

*Complete if Known*

Application Number	10/660,055
Filing Date	September 10, 2003
First Named Inventor	Simon John Knee
Group Art Unit	2127
Examiner Name	Unknown
Attorney Docket Number	ASTLJ-001/011JS

## **U.S. PATENT DOCUMENTS**

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> See attached Kinds of U.S. Patent Documents.

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PTO/SB/08A (08-00)

Substitute for form 1449A/PTO		<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(use as many sheets as necessary)</i>		Application Number	10/660,055
		Filing Date	September 10, 2003
		First Named Inventor	Simon John Knee
		Group Art Unit	2127
		Examiner Name	Unknown
Sheet	2 of 2	Attorney Docket Number	ASTU-001/01US

## FOREIGN PATENT DOCUMENTS

## **OTHER - NON PATENT LITERATURE DOCUMENTS**

Examiner Signature		Date Considered	
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<sup>1</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

<sup>2</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

<sup>3</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

<sup>4</sup> Applicant is to place a check mark here if English language Translation is attached.

<sup>1</sup> Unique citation designation number.

<sup>2</sup> Applicant is to place a check mark here if English language Translation attached.